

# Whole Genome Sequencing Analysis of Penicillin-Susceptible, -Intermediate and -Resistant *Streptococcus pneumoniae* Isolated in Canada, 2011-2016

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## Introduction

*Streptococcus pneumoniae* is a clinically important bacterial pathogen known to cause invasive infections such as bacteraemia and meningitis<sup>1,2</sup>. Despite the availability of pneumococcal vaccines, invasive pneumococcal disease (IPD) continues to cause significant morbidity and mortality globally<sup>3</sup>.

β-lactams (e.g., penicillin, ceftriaxone) are commonly used to treat IPD when an isolate is susceptible<sup>1,4</sup>. β-lactams selectively bind and inhibit penicillin binding proteins (PBPs), thereby preventing peptidoglycan polymerization and cell wall synthesis<sup>1,5</sup>. The penicillin binding region of PBPs contain the conserved SXXK, S/YXN and K/H(S/T)G active site motifs<sup>5,6</sup>. To date, six unique PBP types have been identified in *S. pneumoniae* and are classed on the basis of structure and function: PBP1a, 1b, and 2a (class A); PBP2x and 2b (class B); and PBP3<sup>5,6</sup>.

Resistance to β-lactams in *S. pneumoniae* is attributed to highly altered PBPs that exhibit decreased β-lactam affinity<sup>1,5,6</sup>. The accumulation of alterations is a result of frequent homologous recombination events between *S. pneumoniae* and related *Streptococcus* spp<sup>1,5,6</sup>. Of the six PBP types, PBP2x, 2b and 1a are commonly altered in clinical isolates of *S. pneumoniae* with reduced susceptibility or resistance to β-lactams<sup>1,5</sup>.

The purpose of the present study was to use whole genome sequencing (WGS) to characterize penicillin-susceptible, -intermediate and -resistant invasive isolates of *S. pneumoniae* collected in Canada from 2011 to 2016.

## Materials and Methods

**Bacterial Isolates:** Between 2011 and 2016, a total of 7622 invasive *S. pneumoniae* isolates were submitted to the Public Health Agency of Canada – National Microbiology Laboratory (PHAC-NML) by provincial public health laboratories. As part of the SAVE study, carried out in collaboration between CARA (Health Sciences Center, Winnipeg, Canada) and PHAC-NML, submitted isolates were forwarded to CARA from participating CPHLN sites. A subset of 196 isolates was selected for WGS based on their penicillin MIC; 10% of all isolates for each penicillin MIC value, ranging from 0.06 to 4 µg/mL, were randomly selected for WGS. The remaining isolates were randomly selected from isolates with penicillin MICs of 0.001-0.03 µg/mL.

**Phenotypic Characterization:** Antimicrobial susceptibility testing was performed by the CLSI broth microdilution method<sup>7</sup>. MICs were interpreted using CLSI MIC breakpoint criteria<sup>8</sup>. Isolates were serotyped by the Quellung reaction using pool, group, type and factor specific antisera (Statens Serum Institute, Copenhagen, Denmark).

**WGS Analysis:** WGS was performed using the Illumina MiSeq platform. Read quality was assessed by FastQC v2.3; reads with <10x coverage were removed from subsequent analysis. High quality reads were assembled into contigs using SPAdes v1.6 (n=189). The nucleotide and amino acid sequences of PBP2x, PBP2b and PBP1a were annotated using Prokka v1.1 and aligned using ClustalW. Pair-wise distances for each PBP type were calculated using MEGA X and clustal alignments by the p-distance method. Neighbor-joining trees of select *pbp2x* sequences were generated with MEGA X and clustal alignments from a subset of isolates (n=50) using standard parameters and 500 bootstrap replicates. Alterations in PBP active site motifs were identified using Aliview v1.25.

## Results

**Table 1. Comparison of the number of single nucleotide variants (SNVs) and amino acid substitutions in *S. pneumoniae* PBP2x, PBP2b and PBP1a sequences by penicillin MIC**

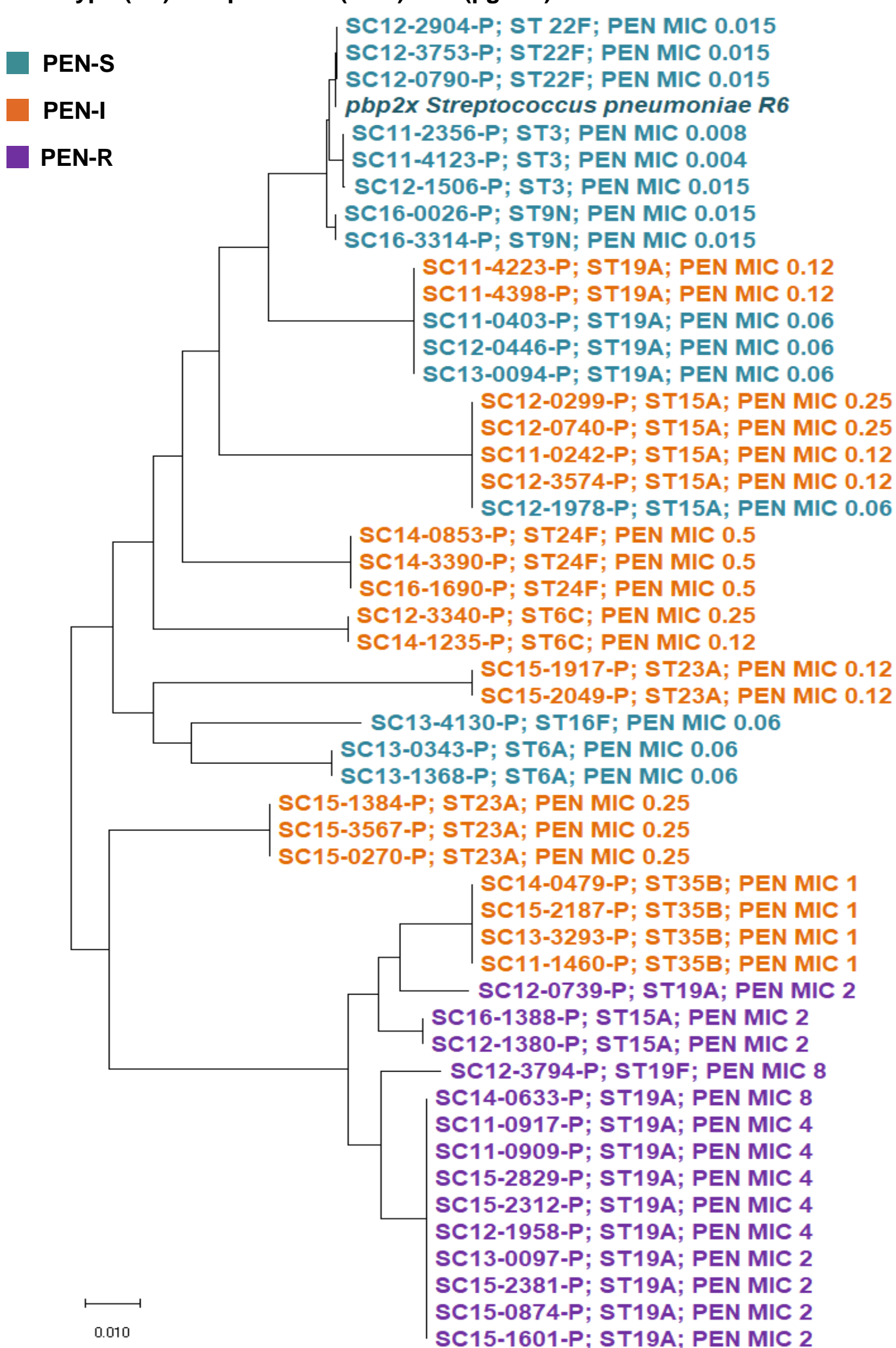
Penicillin Interpretive Category <sup>a</sup>	Penicillin MIC (µg/mL)	PBP2x			PBP2b			PBP1a		
		Average No. SNVs <sup>b</sup>	SNV p-distance <sup>c</sup> (%)	Average No. substitutions	Average No. SNVs	SNV p-distance (%)	Average No. substitutions	Average No. SNVs	SNV p-distance (%)	Average No. substitutions
R	≥4	294	13.1	70	217	10.6	48	404	18.7	76
	2	301	13.4	72	165	8.1	35	348	16.1	70
	1	324	14.4	76	121	5.9	23	365	16.9	77
	0.5	275	12.2	63	94	4.6	18	206	9.6	40
	0.25	195	8.7	37	105	5.1	23	120	5.6	27
I	0.12	169	8.0	34	108	5.2	22	91	4.2	22
	0.06	102	4.5	22	36	1.8	7	36	1.7	12
S	≤0.03	9	0.4	2	8	0.4	2	11	0.5	4

<sup>a</sup> CLSI oral penicillin V breakpoints (Susceptible=≤0.06 µg/mL, Intermediate=0.12-1 µg/mL, Resistant=≥2 µg/mL)

<sup>b</sup> Averaged from isolate total per MIC value.

<sup>c</sup> Percent nucleotide difference compared to *Streptococcus pneumoniae* R6 reference *pbp2x*, *pbp2b*, and *pbp1a* sequences.

**Figure 1. Neighbor-joining tree of select *S. pneumoniae pbp2x* sequences. Branches are colored by CLSI oral penicillin V breakpoints. Branch information includes serotype (ST) and penicillin (PEN) MIC (µg/mL).**



**Table 2. Alterations in PBP2b active site motifs of penicillin-susceptible, -intermediate and -resistant isolates of *S. pneumoniae***

Penicillin Interpretive Category <sup>a</sup>	Penicillin MIC (µg/mL)	No. of Isolates	PBP2b		
			SVVK	SSNT	KTGTA
R	≥4	11	----	---A	----G
	2	6	----	---A	----
I	1	8	----	---A	----
	0.5	1	----	---A	----G
	0.25	8	----	---A	----
	0.12	15	----	---A	----
	0.06	1	----	---A	----
S	0.12	25	----	---A	----
	0.06	22	----	---A	----
	≤0.03	14	----	---A	----
		73	----	----	----

<sup>a</sup> CLSI oral penicillin V breakpoints

**Table 3. Alterations in PBP1a active site motifs of penicillin-susceptible, -intermediate and -resistant isolates of *S. pneumoniae***

Penicillin Interpretive Category <sup>a</sup>	Penicillin MIC (µg/mL)	No. of Isolates	PBP1a		
			STMK	SRNVP	KTG
R	≥4	11	-S--	----T	---
	2	7	-S--	----T	---
I	1	3	-A--	----T	---
	0.5	1	-S--	----	---
	0.25	5	-S--	----T	---
	0.12	3	-A--	----T	---
	0.06	1	-S--	----T	---
	0.06	1	-S--	----T	---
	0.06	4	-A--	----T	---
	0.06	2	-S--	----	---
	0.06	2	----	----	---
	0.06	11	----	----	---
S	0.12	4	----	----T	---
	0.06	1	-A--	----	---
	≤0.03	23	----	----T	---
		1	-A--	----	---
		36	----	----	---
		73	----	----	---

<sup>a</sup> CLSI oral penicillin V breakpoints

## Conclusions

- Overall, PBP2x, PBP2b and PBP1a were largely unaltered in penicillin-susceptible isolates with MICs ≤0.03 µg/mL, demonstrating ≤0.5% nucleotide difference compared to penicillin susceptible *S. pneumoniae* R6.
- For *S. pneumoniae* isolates with penicillin MIC values ranging from 0.06-0.25 µg/mL, the rank order of the average number of SNVs among PBP types was: *pbp2x* > *pbp2b* ≈ *pbp1a*. The rank order shifts to *pbp2x* > *pbp1a* > *pbp2b* in isolates with a penicillin MIC of 0.5 µg/mL.
- For *S. pneumoniae* isolates with penicillin MICs ≥1 µg/mL, the rank order of the average number of SNVs among PBP types was: *pbp1a* > *pbp2x* > *pbp2b*.
- According to the Neighbor-Joining method:
  - pbp2x* sequences cluster independently of serotype in penicillin-susceptible isolates with MICs ≤0.03 µg/mL.
  - For isolates with penicillin MIC values ranging from 0.06-1 µg/mL, *pbp2x* sequences cluster largely by serotype.
  - A single cluster containing *pbp2x* sequences of penicillin-resistant isolates (≥2 µg/mL) was observed.
- A Thr451Ala substitution in the SSNT motif of PBP2b was identified in 80.2% (93/116) of *S. pneumoniae* isolates with penicillin MICs ≥0.06 µg/mL. This substitution was observed in combination with an A619G substitution in the KTGTA motif in 72.7% (16/22) of penicillin-resistant isolates (≥2 µg/mL).
- A T371A/S substitution in the STMK motif of PBP1a was identified in 94.9% (37/39) of *S. pneumoniae* isolates with penicillin MICs ≥0.5 µg/mL. This substitution was observed in combination with a P432T substitution in the SRNVP motif in 95.5% (21/22) of penicillin-resistant isolates (≥2 µg/mL).
- A T337A substitution in the STMK motif of PBP2x was identified in 59.5% (69/116) of *S. pneumoniae* isolates with penicillin MICs ≥0.06 µg/mL. This substitution was observed in combination with a L546V substitution in the LKSGT motif in 100% (22/22) of penicillin-resistant isolates (≥2 µg/mL, data not shown).

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